



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/840,085	04/24/2001	Aanna Schepartz Shrader	044574-5099-US

09629  
MORGAN, LEWIS & BOCKIUS  
1800 M STREET NW  
WASHINGTON, DC 20036-5869

**CONFIRMATION NO. 2186**  
**FORMALITIES LETTER**



\*OC00000006440855\*

Date Mailed: 08/17/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

~~Customer Service Center~~

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/06/2002 SDENB0B1 00000103 09840085

01 FC:205

65.00 OP

*Rec'd P  
FEB 14 2002*

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, DC 20231, ON THE DATE INDICATED BELOW:

BY: *Douglas Shrader*

DATE: January 9, 2002

PATENT  
BOX MISSING PARTS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re:	Patent Application of Alanna Schepartz Shrader, et al.	:	Group Art Unit: 1645
Appln. No.:	09/840,085	:	Examiner: Not Yet Assigned
Filed:	April 24, 2001	:	
For:	DNA & PROTEIN BINDING MINIATURE PROTEINS	:	Attorney Docket No. 44574-5099 (Client Ref. No. OCR 976)

**TRANSMITTAL OF MISSING PARTS OF APPLICATION –  
FILING DATE GRANTED**

In response to the Notice to File Missing Parts dated August 17, 2001, the following is submitted herewith:

- Copy of the Notice to File Missing Parts of Application – Filing Date Granted, mailed August 17, 2001.
- No Notice to File Missing Parts has been received.
- One (1) Declaration and Power of Attorney.
- Verified English translation of application as filed.
- Priority document(s): Certified copy(ies) of \_\_\_\_ patent application No(s). \_\_\_\_\_, filed in \_\_\_\_\_ on \_\_\_\_\_ from which priority is claimed under 35 U.S.C. §119.
- Formal drawings: \_\_\_\_ sheets.
- Information Disclosure Statement, Form PTO-1449, and cited references.
- Preliminary Amendment.
- Nucleotide and/or Amino Acid Sequence Submission, including:
  - Computer readable copy       Paper Copy       Verified Statement
- Petition for a three (3) month extension of time and requisite fee.
- Request for Refund.
- Request for Corrected Filing Receipt. Attached is a marked-up copy of the Filing Receipt showing corrections.
- Notice of Change of Address

Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37 C.F.R. §1.27 as [ ] an Independent Inventor, or [ ] a Small Business Concern, or  a Non-Profit Organization.

The following fees noted below are being paid:

Surcharge of **\$65.00** for late filing of filing fee and/or Declaration and Power of Attorney.

[ ] Surcharge of **\$65.00** for late filing of English translation.

Surcharge of **\$460.00** for a three month extension of time.

Enclosed is our firm's check in the amount of **\$525.00** for the above fee.

The Commissioner is hereby authorized to charge and/or credit Deposit Account No. 50-0310 (billing code 44574-5099) as noted below.

[ ] The above-calculated fee(s) calculated totaling \$\_\_\_\_\_.00.

Any overpayments or deficiencies in the above-calculated fee(s).

Any additional fees required under 37 C.F.R. §1.16 or §1.17.

[ ] In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. §1.136(a) to our Deposit Account noted above.

Respectfully submitted,

**ALANNA SCHEPARTZ SHRADER, ET AL.**

January 8, 2002

Date

By:

  
KATHRYN DOYLE, Ph.D., J.D.  
Registration No. 36,317  
MORGAN, LEWIS & BOCKIUS, L.L.P.  
1701 Market Street  
Philadelphia, PA 19103-2921  
Telephone: (215) 963-5000  
Direct Dial: (215) 963-4723  
Facsimile: (215) 963-5299  
E-Mail: kdoyle@morganlewis.com

/dp

Enclosures (check; copy of Notice to File Missing Parts; executed Declaration, Petition for a Three Month Extension of Time; Request for a Corrected Filing Receipt, original filing receipt, Notice of Change of Address)

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON THE DATE INDICATED BELOW.

BY Darryl + Ratch

DATE January 9, 2002

#6

PATENT  
ATTN: APPLICATION BRANCH

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of : Group Art Unit: 1645  
Alanna Schepartz Shrader, et al. :  
Appln. No.: 09/840,085 : Examiner: Not Yet Assigned  
Filed: April 24, 2001 :  
For: DNA & PROTEIN BINDING MINIATURE : Attorney Docket No. 44574-5099  
PROTEINS : (Client Ref. No. OCR 976)

REQUEST FOR CORRECTED FILING RECEIPT

Attached is the original filing receipt for the above-identified patent application.

In the section entitled "Applicants," please correct the spelling of the first inventor's name to read:

Alanna Schepartz Shrader

In the section entitled "Domestic Priority Data as Claimed by Applicant," please amend to read:

"This application claims benefit of 60/199,408, filed 04/24/2000; 60/240,566, filed 10/16/2000;  
60/265,099, filed 1/30/2001 and 60/271,368, filed 2/23/2001"

Please make this correction and provide us with a corrected filing receipt.

Respectfully submitted,

**ALANNA SCHEPARTZ SHRADER, ET AL.**

January 8, 2002  
(Date)

By: Kathryn Doyle  
KATHRYN DOYLE, Ph.D., J.D.  
Registration No. 36,317  
MORGAN, LEWIS & BOCKIUS, LLP  
1701 Market Street  
Philadelphia, PA 19103-2921  
Telephone: (215) 963-5000  
Direct Dial: (215) 963-4723  
Facsimile: (215) 963-5299  
E-Mail: kdoyle@morganlewis.com  
Attorney for Applicants

/dp  
Enclosure  
1427574\_1.DOC

KJ  
PJS

FEB 04 2002

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/840,085	04/24/2001	1645	854	044574-5099-US	6	22	4

RECEIVED

09629  
 MORGAN, LEWIS & BOCKIUS  
 1800 M STREET NW  
 WASHINGTON, DC 20036-5869

AUG 20 2001

MORGAN, LEWIS &amp; BOCKIUS LLP

CONFIRMATION NO. 2186

FILING RECEIPT



\*OC000000006440854\*

Date Mailed: 08/17/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)** *Alanna*

Alanna Schepartz Shrader, Wilton, CT;  
 Jason W.K. Chin, New Haven, CT;  
 Reena Zutshi, New Haven, CT;  
 Stacey E. Rutledge, New Haven, CT;  
 Joanne D. Kehlbeck Martin, Guilderland, NY;  
 Neal J. Zondlo, Arlington, MA;

**Assignment For Published Patent Application**

Yale University, New Haven, CT;

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/199,408 04/24/2000  
 AND CLAIMS BENEFIT OF 60/240,566 10/16/2000; 60/265,099, filed 01/30/2001;  
 and 60/271,368, filed 02/23/2001.

**Foreign Applications****If Required, Foreign Filing License Granted** 08/16/2001**Projected Publication Date:** To Be Determined - pending completion of Missing Parts**Non-Publication Request:** No**Early Publication Request:** No

DOCKETED  
 By *GJ* Date *8/20/01*

**\*\* SMALL ENTITY \*\***

**Title**

DNA & protein binding miniature proteins

**Preliminary Class**

435

---

Data entry by : ROBEL, ROMAN

Team : OIPE

Date: 08/17/2001